

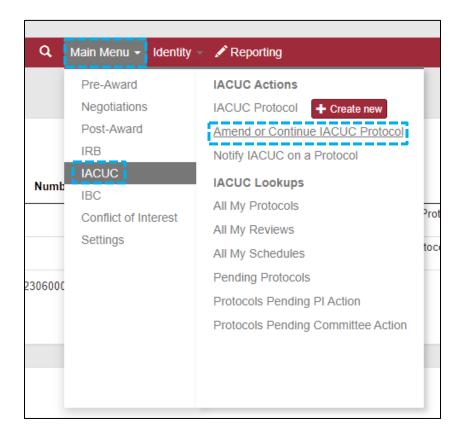


HOW TO CREATE AN IACUC PROTOCOL AMENDMENT

1) Log into <u>Streamlyne</u>.



2) At the top of the screen, click Main Menu > IACUC > Amend or Continue IACUC Protocol.







3) The next page will show a list of all protocols that can be amended that you have access to. Depending on your permissions for the protocol, you may need to reach out to the PI or another investigator on the protocol to process the amendment.

	lumber:							Protocol Typ	select	~	•		
Protocol Status:		elect		~			Re	eference Number	1:				
	Title:						Su	ummary/Keyword	ls:				
Initial Submission Dat	e From:						Initial St	ubmission Date 1	Го:				
Expiration Date	e From:						()	Expiration Date 1	Го:				
Approval Date	e From:							Approval Date 1	Го:	=			
Last Approval Date	e From:						Last	t Approval Date 1	Го:				
Action Date	e From:	=	i					Action Date 1	lo:	=			
Inves	stigator:							Key Perso	on:				
Area of Re	search:	C						Funding Source	ce:				
Performing Organization:		a	1					Unit Numbe	er: select			~	
Uni	t Name:							Specie	select	~			
Exc	ception: se	lect			~			Activ	ve: • Yes • N	o O Both			
						s With \bigcirc Col arch Clear	ntains O Exac	ct					
Protocol/Permit ctions Number	Protocol Type	Sequence Number	Investigator	Title	Protocol Status	Approval Date	Expiration Date	Last Approval Date	Initial Submission Date	Lead Unit	Lead Unit Name	Summary/Keywords	Active
			Investigator Nicholas P Greene	Title May 30 Test					Submission			Summary/Keywords	Active Yes

Note: If needed, you can use the search parameters to filter your list or protocols.

4) Click the **perform action** link next to the protocol you want to amend.

Actions	Protocol/Permit Number	Protocol Type	Sequence Number	Investi
perform action	2305000093	Research	4	Nicholas Greene
perform action	2306000138	Research	0	Nicholas Greene



E.



5) Click the **Show** button next to Create Amendment to expand the section like the images below.

ersonnel				
he Three R's	🛛 🔶 R	equest an Action		
uestionnaire	F	vailable Actions 🧿		
pecies/Group	s	Show Notify IACUC		
rocedures		Show Create Amendment		
rotocol Excep	otion	Show Create Continuation with Am		
pecial Review	<i>i</i>	Show Request to Deactivate		
lotes & Attach		Show Unavailable Actions		
ACUC Protoco ermissions		rint		
treams		rint ummary & History		
		opy to New Document		
		oute Log		
	► L	ata Validation		
			Close	
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Reque	est an Action			
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Availa Sh Hid	ble Actions ③ wwwwwwwwwwwwwwwwwwwwwwwwwwwwwwwwwwww		Add/Modify Notes & Attachments	ß
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Availa Sh Hii * Summary:	ble Actions ② w Notify IACUC G Create Amendme Summary of amendm General Info Funding Source Protocol Referen Protocol Organiz	ient changes. ces & Other Identifiers	Areas of Research Special Review Protocol Personnel	ß
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- 6) Provide a brief summary of the amendment in the Summary box.
 - a. For procedural modifications, check the **Procedures** box.
 - b. For personnel modifications, check the **Protocol Personnel** box.
 - c. If the amendment requires support documentation, select the Add/Modify Notes & Attachments box.

Note: Both types of amendment can be submitted on the same request, but if both are opened on the same amendment, the personnel addition will be delayed until the procedural modification is completed. It is recommended that you create separate amendments for each type of amendment. If at any time you need to modify the selections, you can go to the **IACUC Protocol Actions** tab on the left side of the screen and select **Modify Amendment Sections** prior to submission. Don't forget to click the **Update** button at the bottom after making any modifications to apply them.

Protocol	× ×		* Indicates red	nuired fi
Personnel			Indicates rec	quired i
The Three R's	 Request an Ac 			
Questionnaire	Available Action	is @		
Species/Groups	Show Submi	t for Review		
Procedures	Hide Modify	Amendment Sections		
Protocol Exception	* Amendment Summary :	Summary of amendment changes.		66
Special Review	*Amend:			
lotes & Attachments		General Info	Add/Modify Notes & Attachments	
ACUC Protocol Actions		Funding Source	Areas of Research	
Permissions	·	Protocol References & Other Identifiers	Special Review	
Streams		Protocol Organizations	Protocol Personnel	
		Questionnaire	Three R's	
		Species/Groups	Procedures	
		Protocol Exception	Others	
		Undate		
		Update		

7) Once you have entered your summary and made your selections, click **Create** at the bottom of the section.

Species/Groups	Procedures
Protocol Exception	Others
	Create

Note: Once you start an amendment, you will not be able to start an additional amendment of the same type until the first is approved. Please make sure to include all changes in the first submission.





8) Click on the **Questionnaire** tab on the left side of the screen to open the **Protocol Modification Questions** section.

Protocol Personnel The Three R's Questionnaire	Protocol Modification Questions (Incomplete) Questions Print	* Indicates required field
Species/Groups Procedures Protocol Exception Special Review Notes & Attachments	Will there be any procedural changes to the AUP for this modification?	More Information
IACUC Protocol Actions Permissions Streams	Will there be any personnel changes to the AUP for this modification?	More Information
	Save Reload Close	

Selecting "Yes" for the procedural changes question will open a second section with a link to a form that will need to be completed, saved as a PDF, and uploaded under the **Experimental Design and Timeline** section on the **Procedures** tab. This process mirrors the process of updating the **Procedures** tab on a new protocol. Instructions for that can be found here. Once you have uploaded the completed

PDF on the Procedures tab, select the "Yes" option to indicate you have uploaded the file.

Questions Print	
Will there be any procedural changes to the AUP for this modification?	More Information
● Yes ○ No	
Please use the following link to download the AUP Modification Form. After completing	More Information
the form, save the document as a PDF file, and upload it under the Experimental Design and Timeline section on the Procedures tab. After uploading the completed document on the Procedures tab, click 'Yes' to indicate that you have done so.	
https://uark.box.com/shared/static/4m6qnddoyysb2burvesv9djx2ti7pift.docx	





Selecting "Yes" for the personnel changes question will open a second section with a link to a form that will need to be completed, saved as a PDF, and uploaded into the questionnaire using the **Choose File** button. Once the file is selected, click the **Add** button to upload it into the questionnaire.

 Protocol Modification Questions (Incomplete) 	
Questions Print	
Will there be any procedural changes to the AUP for this modification?	More Information
● Yes ○ No	
Please use the following link to download the AUP Modification Form. After completing the form, save the document as a PDF file, and attach	More Information
it in the prompt below.	
https://uark.box.com/shared/static/4m6qnddoyysb2burvesv9djx2ti7pift.doc	x
Choose File No file chosen	
Add	





9) For personnel modifications, once you have uploaded the personnel modification form into the questionnaire, you will need to go to the **Personnel** tab and add the personnel. The easiest way to do this is to type their UARK email address into the search box and hit the tab key on your keyboard. If they are in the system, their information will populate the section below. Select the protocol role and click the **Add** button to add them to the protocol. Repeat these steps for each additional personnel on the protocol.

approv	e the protocol before it will re	oute to the IACUC Committee.	nvestigator and all co-investigators will need to The Study Personnel role is best used for e the submission, such as Graduate Assistants.
P	rotocol Personnel 🗿		
	Person User Name Non-employee ID rekavana@uark.edu Q * Person	Unit	* Protocol Role
Add:	Rebecca Kavanaugh 479-575-6367 testuser@streamlyne.con	Research Compliance	Select Add 3 Select Co-Investigator Correspondents

10) Once all information has been entered into the system, submit the modification request on the IACUC Protocol Actions tab.

Protocol Personnel	Document was successfully saved. * Indicates required field
The Three R's	✓ Request an Action
Questionnaire	Available Actions 🎯
Species/Groups	Hide Submit for Review
Procedures	* Submission Type : Amendment - * Submission Review Type : Administrative Review
Protocol Exception	Type Qualifier : Procedural
Special Review	Submit
Notes & Attachments	Show Modify Amendment Sections
IACUC Protocol Actions	Show Delete Protocol, Amendment, or Continuation
Permissions	Show Unavailable Actions





HOW TO RETURN TO AN IACUC PROTOCOL AMENDMENT IN PROGRESS

If you need to return to an amendment that you have already started but not yet completed, you can search for it using the **All My Protocols** link under **Main Menu** > **IACUC** > **All My Protocols**.

Main Menu 👻 Identity	 Reporting
Main Menu - Identity Pre-Award Negotiations Post-Award IRB IACUC IBC Conflict of Interest Settings	 Reporting IACUC Actions IACUC Protocol Amend or Continue IACUC Protocol Notify IACUC on a Protocol IACUC Lookups All My Protocols All My Reviews All My Schedules Pending Protocols Protocols Pending PI Action Protocols Pending Committee Action

Amendments in the search listing will have an "A" followed by three numbers on the end of the protocol number. The protocol status should also show "Amendment in Progress" if you have yet to submit the amendment. Click the "edit" link in the **Actions** column to return to editing your amendment.

Actions	Protocol/Permit Number	Protocol Type	Sequence Number	Investigator	Title	Protocol Status	Approval Date	Expi D
edit <u>copy</u> view	230500009 <mark>3</mark> A004	Research	0		1	Amendment in Progress	07/06/2023	07/05